

Please type a plus sign (+) inside this box →

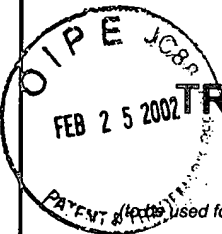
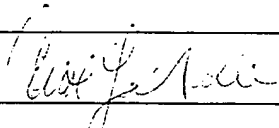


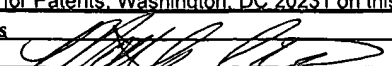
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PTO/SB/21 (08-00)

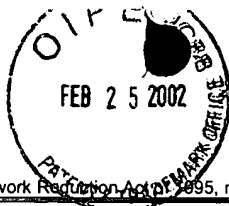
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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| | | | | | | |
|---|---|--|-------------------------|---|---|--|
| <div>TRANSMITTAL FORM <small>(This form is used for all correspondence after initial filing)</small></div> | | Application Number | 09/974,654 | | | |
| | | Filing Date | October 10, 2001 | | | |
| | | Confirmation Number | 9926 | | | |
| | | First Named Inventor | MATZINGER, DAVID PARKES | | | |
| | | Group Art Unit | 3736 | | | |
| | | Examiner Name | Unassigned | | | |
| Total Number of Pages in This Submission 9 | | Attorney Docket Number | LIFE-045 | | | |
| ENCLOSURES (check all that apply) | | | | | | |
| <table border="1"><tr><td><input checked="" type="checkbox"/> Fee Transmittal Form (IN DUPLICATE) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (ONE MONTH EXT. OF TIME) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53</td><td><input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Associate Power of Attorney <input checked="" type="checkbox"/> Change of Correspondence <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____</td><td><input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Declaration - Postcard</td></tr></table> | | | | <input checked="" type="checkbox"/> Fee Transmittal Form (IN DUPLICATE) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (ONE MONTH EXT. OF TIME) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Associate Power of Attorney <input checked="" type="checkbox"/> Change of Correspondence <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Declaration - Postcard |
| <input checked="" type="checkbox"/> Fee Transmittal Form (IN DUPLICATE) <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request (ONE MONTH EXT. OF TIME) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Associate Power of Attorney <input checked="" type="checkbox"/> Change of Correspondence <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Declaration - Postcard | | | | |
| Remarks | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
| Firm or Individual Name | CAROL M. LASALLE, Reg. No. 39,740 | | | | | |
| Signature |  | | | | | |
| Date | February 11, 2002 | | | | | |

| | | | |
|---|--|------|-------------------|
| CERTIFICATE OF MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 11, 2002. | | | |
| Typed or printed name | Martha Cisneros | | |
| Signature |  | Date | February 11, 2002 |

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

| | |
|----------------------|-------------------------|
| Application Number | 09/974,654 |
| Filing Date | October 10, 2001 |
| Confirmation Number | 9928 |
| First Named Inventor | MATZINGER, DAVID PARKES |
| Examiner Name | Unassigned |
| Group Art Unit | 3736 |
| Attorney Docket No. | LIFE-045 |

TOTAL AMOUNT OF PAYMENT (\$ 240.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:
Deposit Account Number 50-0815
Deposit Account Name Bozicevic, Field & Francis LLP
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant Claims small entity status.
See 37 CFR 1.27

2. ☐ Payment Enclosed:
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

2. BASIC FILING FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|------------------------|----------|
| 101 740 201 370 | | Utility filing fee | |
| 106 330 206 165 | | Design filing fee | |
| 107 510 207 255 | | Plant filing fee | |
| 108 740 208 370 | | Reissue filing fee | |
| 114 160 214 80 | | Provisional filing fee | |

SUBTOTAL (1)

1. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims | 108-108 = x | | |
| Indep. Claims | 6-6 = x | | |
| Multiple Dependent | | | |

| Large Entity Fee Code | Small Entity Fee Code | Fee Description |
|-----------------------|-----------------------|--|
| 103 18 203 9 | | Claims in excess of 20 |
| 102 84 202 42 | | Independent claims in excess of 3 |
| 104 280 204 140 | | Multiple dependent claim, if not paid |
| 109 84 209 42 | | ** Reissue independent claims over original patent |
| 110 18 210 9 | | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|--|----------|
| 105 130 205 65 | | Surcharge - late filing fee or oath | 130.00 |
| 127 50 227 25 | | Surcharge - late provisional filing fee or cover sheet | |
| 139 130 139 130 | | Non-English specification | |
| 147 2,520 147 2,520 | | For filing a request for ex parte reexamination | |
| 112 920* 112 920* | | Requesting publication of SIR prior to Examination action | |
| 113 1,840* 113 1,840* | | Requesting publication of SIR after Examiner action | |
| 115 110 215 55 | | Extension for reply within first month | 110.00 |
| 116 400 216 200 | | Extension for reply within second month | |
| 117 920 217 460 | | Extension for reply within third month | |
| 118 1,440 218 720 | | Extension for reply within fourth month | |
| 128 1,960 228 980 | | Extension for reply within fifth month | |
| 119 320 219 160 | | Notice of Appeal | |
| 120 320 220 160 | | Filing a brief in support of an appeal | |
| 121 280 221 140 | | Request for oral hearing | |
| 138 1,510 138 1,510 | | Petition to institute a public use proceeding | |
| 140 110 240 55 | | Petition to revive - unavoidable | |
| 141 1,280 241 640 | | Petition to revive - unintentional | |
| 142 1,280 242 640 | | Utility issue fee (or reissue) | |
| 143 460 243 230 | | Design issue fee | |
| 144 620 244 310 | | Plant issue fee | |
| 122 130 122 130 | | Petitions to the Commissioner | |
| 123 50 123 50 | | Processing fee under 37 CFR 1.17(q) | |
| 126 180 126 180 | | Submission of Information Disclosure Stmt | |
| 581 40 581 40 | | Recording each patent assignment per property (times number of properties) | |
| 146 740 246 370 | | For each additional invention to be examined (37 CFR § 1.129(a)) | |
| 149 740 249 370 | | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 740 279 370 | | Request for Continued Examination (RCE) | |
| 169 900 169 900 | | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | CAROL M. LaSALLE | Registration No. (Attorney/Agent) | 39,740 | Telephone | (650) 327-3400 |
| Signature | | Date | 02/11/2002 | | |

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#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/974,654 | 10/10/2001 | David Matzinger | LFS-137 |

000027777
AUDLEY A. CIAMPORCERO JR.
JOHNSON & JOHNSON
ONE JOHNSON & JOHNSON PLAZA
NEW BRUNSWICK, NJ 08933-7003



CONFIRMATION NO. 9928

FORMALITIES LETTER



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Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/01/2002 VTRUONG1 00000006 500815 09974654

01 FC:105 130.00 CH